

G.S. 150B-21.3A Report for 18 NCAC 05, UNIFORM COMMERCIAL CODE DIVISION

Agency - SECRETARY OF STATE/ UNIFORM COMMERCIAL CODE DIVISION

Thursday, April 24, 2014 through Thursday, June 24, 2014

Date Submitted to APO - Filled in by RRC staff

Subchapter or Blank	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Implements or Conforms to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]
Copy all columns in this row to the right of this yellow cell and paste in new rows					Select One	Select One		Select One
SUBCHAPTER 05B – UNIFORM COMMERCIAL CODE SECTION	SECTION .0100 – GENERAL PROVISIONS	18 NCAC 05B .0102	DEFINITIONS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0103	PLACE OF FILING, TIME OF FILING, AND METHODS OF DELIVERY	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0104	CONTENTS OF RECORDS SUBMITTED FOR FILING	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0105	APPROVED FORMS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0106	PROCESSING FEES AND METHODS OF PAYMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0107	PUBLIC RECORDS SERVICES	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0108	FEES FOR PUBLIC RECORDS SERVICES	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		SECTION .0200 – ACCEPTANCE AND REFUSAL OF RECORDS		18 NCAC 05B .0201	ROLE OF FILING OFFICER	Eff. August 1, 2002	Necessary without substantive public interest	No
18 NCAC 05B .0202	GROUND FOR REFUSAL OF UCC DOCUMENT			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
18 NCAC 05B .0203	PROCEDURE UPON REFUSAL			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
18 NCAC 05B .0204	NOTIFICATION OF DEFECTS			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
18 NCAC 05B .0205	REFUSAL ERRORS			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
18 NCAC 05B .0206	FILING OFFICER ERRORS			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
18 NCAC 05B .0207	NOTICE OF BANKRUPTCY			Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
SECTION .0300 – UCC INFORMATION MANAGEMENT SYSTEM		18 NCAC 05B .0301	POLICY STATEMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0302	PRIMARY DATA ELEMENTS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0303	INITIAL FINANCING STATEMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No

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		18 NCAC 05B .0304	AMENDMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0305	ASSIGNMENT OF POWERS OF SECURED PARTY OF RECORD	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0306	CONTINUATION	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0307	TERMINATION	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0308	CORRECTION STATEMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0309	PROCEDURE UPON LAPSE	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0310	IACA STANDARD ADOPTED	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0311	IMPLEMENTATION GUIDE	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0312	DIRECT ON-LINE DATA ENTRY PROCEDURES	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
	SECTION .0400 – FILING AND DATA ENTRY PROCEDURES	18 NCAC 05B .0401	POLICY STATEMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0403	DOCUMENT FILING, REVIEW, AND ACKNOWLEDGMENT	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0404	ENTRY OF INDIVIDUAL AND ORGANIZATIONAL NAMES	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0405	FILING DATES AND LAPSE CALCULATIONS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0406	FILING ERRORS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No
		18 NCAC 05B .0407	DESIGNATED NAME FIELDS	Eff. August 1, 2002	Necessary without substantive public interest	No	Not applicable	No

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**Agency Determination Following
Public Comment [150B-21.3A(c)(1)]**

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